

Docket No. SSB0009



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

William ORTNER, et al.

Art Unit: 3624

Serial No.: 10/628,208

Examiner: Alain L. Bashore

Filed: July 29, 2003

For: METHOD AND SYSTEM FOR PROVIDING MANDATORILY
CONVERTIBLE SECURITIES WITH AN ASSOCIATED CALL SPREAD
AGAINST A TRUST

STATUS INQUIRY

U.S. Patent and Trademark Office
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Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

Please indicate the status of the above-identified application. Specifically, please indicate when the first Office Action may be expected.

Respectfully submitted,

Date:

10/12/05

By:

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